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Approved for use through 10/31/2002 OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. **PLI-806**

First Inventor **Richard Schweder**

Title **POWER SUPPLY ASSEMBLY**

Express Mail Label No. _____

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status
See 37 CFR 1.27
3. ☒ Specification [Total Pages **14**]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **4**]
5. ☒ Oath or Declaration [Total Pages _____]
- a. ☒ Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
- b. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on
- i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in part (CIP) of prior application No. _____

Prior application information Examiner _____ Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number ex Bar Code Label (Insert Customer Number and Bar Code Label here) or ☐ Correspondence address below

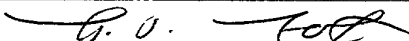
Name **24984**

Address **PATENT TRADEMARK OFFICE**

City _____ State _____ Zip Code _____

Country _____ Telephone _____ Fax _____

Name (Print/Type) **Albert O. Cota** Registration No. (Attorney/Agent) **29,291**

Signature  Date **5 FEB 2001**

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

Complete if Known

Application Number

Filing Date

First Named Inventor

Examiner Name

Group Art Unit

Attorney Docket No.

PLI-806

TOTAL AMOUNT OF PAYMENT

(\$ 355.00)

METHOD OF PAYMENT

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
NumberDeposit
Account
Name

- ☐
- Charge Any Additional Fee Required
-
- Under 37 CFR 1.16 and 1.17
-
- ☐
- Applicant claims small entity status
-
- See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:**

☒ Check ☐ Credit card ☐ Money
Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$)

Code (\$)

Code (\$)

Code (\$)

Fee Paid

101 710 201 355 Utility filing fee
106 320 206 160 Design filing fee
107 490 207 245 Plant filing fee
108 710 208 355 Reissue filing fee
114 150 214 75 Provisional filing fee

\$355

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEESTotal Claims -20** = X =
Independent Claims -3** = X =
Multiple Dependent = Fee from
below

Fee Paid

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$)

Code (\$)

Code (\$)

Code (\$)

Fee Description

103 18 203 9 Claims in excess of 20
102 80 202 40 Independent claims in excess of 3
104 270 204 135 Multiple dependent claim, if not paid
109 80 209 40 ** Reissue independent claims
over original patent
110 18 210 9 ** Reissue claims in excess of 20
and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater, For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**Large Small
Entity Entity
Fee Fee Fee Fee
Code (\$)

105 130

205 65

Surcharge - late filing fee or oath

127 50

227 25

Surcharge - late provisional filing fee or
cover sheet

139 130

139 130

Non-English specification

147 2,520

147 2,520

For filing a request for *ex parte* reexamination

112 920*

112 920*

Requesting publication of SIR prior to
Examiner action

113 1,840*

113 1,840*

Requesting publication of SIR after
Examiner action

115 110

215 55

Extension for reply within first month

116 390

216 195

Extension for reply within second month

117 890

217 445

Extension for reply within third month

118 1,390

218 695

Extension for reply within fourth month

128 1,890

228 945

Extension for reply within fifth month

119 310

219 155

Notice of Appeal

120 310

220 155

Filing a brief in support of an appeal

121 270

221 135

Request for oral hearing

138 1,510

138 1,510

Petition to institute a public use proceeding

140 110

240 55

Petition to revive - unavoidable

141 1,240

241 620

Petition to revive - unintentional

142 1,240

242 620

Utility issue fee (or reissue)

143 440

243 220

Design issue fee

144 600

244 300

Plant issue fee

122 130

122 130

Petitions to the Commissioner

123 50

123 50

Processing fee under 37 CFR 1.17(q)

126 180

126 180

Submission of Information Disclosure Stmt

581 40

581 40

Recording each patent assignment per
property (times number of properties)

146 710

246 355

Filing a submission after final rejection
(37 CFR § 1.129(a))

149 710

249 355

For each additional invention to be
examined (37 CFR § 1.129(b))

179 710

279 355

Request for Continued Examination (RCE)

169 900

169 900

Request for expedited examination
of a design application

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print/Type)

Albert O. Cota

Registration No
(Attorney/Agent)

29,291

Complete (if applicable)

Telephone

(818) 368-4332

Signature

G. O. Cota

Date

5 FEB 2001

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PTO/SB/21 (08 00)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

Filing Date

First Named Inventor

Richard Schweder

Group Art Unit

Examiner Name

Total Number of Pages in This Submission

Attorney Docket Number

PLI-806

ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☒ Fee Attached

☐ Amendment / Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☒ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☐ Other Enclosure(s) (please identify below):

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Albert O. Cota

Signature

Date

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Feb. 6, 2001

Typed or printed name

Martha T. Cota

Signature

Martha T. Cota

Date

Feb 6, 2001

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